



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Wu, et al.

Serial No.: 10/719,970

Group Art Unit: 3753

Filed: 11/21/2003

Examiner: Unassigned

For: TUBULAR CHARGE AIR COOLER

Atty Dkt. No.: 60,680-756

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Dear Sir/Madam:

Applicant submits this Information Disclosure Statement in accordance with the duty of disclosure under 37 C.F.R. §1.56 and §1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b)(3). Enclosed herewith is a List of References Cited by Applicant (PTO-1449) (2 sheets), as well as a copy of each reference listed thereon.

For those references listed on attached Form PTO-1449 that are in English, no further commentary by Applicant is believed necessary, 37 C.F.R. §1.98(a)(3). For those references listed on attached Form PTO 1449 that are in a language other than English, such references include an English language abstract and an English language translation. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

Applicant, by submitting this Information Disclosure Statement, is attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Information Disclosure Statement is not intended to constitute an admission that any of the

enclosed references, or other information referred to therein, is otherwise "material to patentability," as that term is defined in that section.

Respectfully submitted,



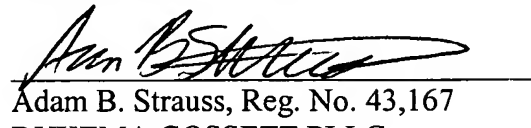
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Attorney for Applicant Customer No. 26127

Dated: April 21, 2004

Petition and Fee

Applicant believes that this Information Disclosure Statement is being submitted prior to the mailing date of the first Office Action in the above-identified matter, in accordance with 37 C.F.R. § 1.97(b)(3). However, in the event that the first Office Action has already been mailed and Applicant has not yet received the Office Action, Applicant hereby petitions for consideration of this Information Disclosure Statement and authorizes the Assistant Commissioner to deduct the fee of \$180.00 required under 37 C.F.R. § 1.97(c)(2) and 37 C.F.R. § 1.17(p) from Deposit Account No. 04-2223. The Assistant Commissioner is further to authorized deduct any additional fees required in connection with this Supplemental Information Disclosure Statement from Deposit Account No. 04-2223 and to credit any overpayment to Deposit Account No. 04-2223.

Respectfully submitted,



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Dated: April 21, 2004

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Wu, et al.

Docket No.

60,680-756

Serial No.

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Filing Date

11/21/2003

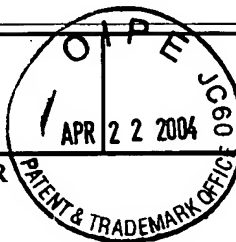
Examiner

Unassigned

Group Art Unit

3753

Invention: TUBULAR CHARGE AIR COOLER



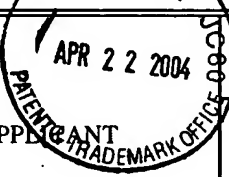
I hereby certify that the following correspondence:

Transmittal of Information Disclosure Statement; Information Disclosure Statement; PTO Form 1449 (24 prior art references) (2 foreign prior art references attached hereto)

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

04/21/04*(Date)*Christine Jaymes*(Typed or Printed Name of Person Mailing Correspondence)*Christine Jaymes*(Signature of Person Mailing Correspondence)*ER356749230US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE (Rev. 2-32) PATENT AND TRADEMARK OFFICE				Attorney Docket No. 60680-756	Serial No. 10/719,970	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets if Necessary)				Applicant: ALAN K. WU et al.		
				Filing Date November 21, 2003	Group Art Unit: 3753	
U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	Re 35,890	09/08/1998	So et al.			
	2,081,678	05/25/1937	Rosenblad			
	3,412,787	11/26/1968	Milligan			
	3,828,851	08/13/1974	Takayasu			
	3,887,004	06/03/1975	Beck			
	3,960,207	06/01/1976	Boer			
	4,004,634	01/25/1977	Habdas			
	4,096,616	06/27/1978	Coffinberry			
	4,146,088	03/27/1979	Pain			

FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No
	1,026,316	02/14/1978	Canada				
	62169995A	07/27/1987	Japan				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		English Translation of Japanese Document 62169995A.
EXAMINER		DATE CONSIDERED
* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		

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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	4,257,480	03/24/1981	Winkleblack			
	4,340,501	07/20/1982	Davidson			
	4,448,243	05/15/1984	Pain			
	4,598,768	07/08/1986	Tenne			
	4,893,670	01/16/1990	Joshi et al.			
	4,995,454	02/26/1991	Thompson			
	5,143,151	09/01/1992	Pain			
	6,019,168	02/01/2000	Kinnnersly			
	6,199,626	03/13/2001	Wu et al.			

FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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U.S. PATENT DOCUMENTS						
Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate
	6,244,334	06/12/2001	Wu et al.			
	6,273,183	08/14/2001	So et al.			
	6,340,053	01/22/2002	Wu et al.			
	6,585,034	07/01/2003	Oswald			

FOREIGN PATENT DOCUMENTS

						Translation	
Examiner Initial	Document Number	Date	Country	Class	Sub-Class	Yes	No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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